SPECTRAL, SEMI-FREDHOLM AND FREDHOLM PROPERTIES OF DIFFERENTIAL OPERATORS

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Let T be a closed linear operator densely defined on a complex Banach space. Essential spectra of an operator T could be defined as complements in a complex plane $\mathbb C$ of set defined by various Fredholm properties of family of operators $T-\lambda I$:

$$\sigma_{ek}(T) := \mathbb{C} \backslash \Delta_k(T), \quad k = \overline{1,5}, \quad \text{and} \quad \sigma_{e2}^{\pm}(T) := \mathbb{C} \backslash \Phi^{\pm}(T),$$

where $\Delta_1(T) := \{\lambda \in \mathbb{C} : \overline{R(T-\lambda I)} = R(T-\lambda I)\}, \ \Phi^+(T) := \{\lambda \in \Delta_1(T) : nul(T-\lambda I) < \infty\}, \ \Phi^-(T) := \{\lambda \in \Delta_1(T) : def(T-\lambda I) < \infty\}, \ \Delta_2(T) := \Phi^+(T) \cup \Phi^-(T) = s - \Phi(T), \ \Delta_3(T) := \Phi^+(T) \cap \Phi^-(T) = \Phi(T), \ \Delta_4(T) := \{\lambda \in \Delta_3(T) : ind(T-\lambda I) = 0\}, \ \Delta_5(T) := \{\lambda \in \Delta_4(T) : a \text{ deleted neighborhood of } \lambda \text{ lies in the resolvent set } \rho(T)\}.$

Let's consider a formal differential expression for $a \le t < \infty, -\infty < a < \infty$

$$\mu := \sum_{k=0}^{n} (a_k + b_k(t)) D^k = \tau + \sum_{k=0}^{n} b_k(t) D^k, \qquad \tau := \sum_{k=0}^{n} a_k D^k,$$

where a_k are complex numbers and complex valued functions of real argument $b_k(t) \in C^k[a,\infty), \ 0 \le k \le n, \ \text{and} \ D := d/dt.$ Denote by $T(\mu,p,[a,\infty))$ $(T_0(\mu,p,[a,\infty)))$ a maximal (minimal) operator corresponding $(\mu,p,[a,\infty))$ which is defined on $L^p(a,\infty)$ for $1 \le p \le \infty$. Let $S(\mu,p,[a,\infty)), -\infty < a < \infty$, be a closed linear differential operator in $L^p(a,\infty), \ 1 \le p \le \infty$, which is an extention of minimal operator $T_0(\mu,p,[a,\infty))$ and a restriction of maximal operator $T(\mu,p,[a,\infty))$ generated by differential operation $\mu,T_0\subseteq S\subseteq T$. Let coefficients $b_n,1/(a_n+b_n)\in L^\infty(a,\infty)$, and coefficients $b_k(t),0\le k\le n$, satisfy conditions

$$\sup_{m \le s < \infty} \int\limits_{s}^{s+1} |b_k(t)|^p dt \to 0 \text{ as } m \to \infty.$$

Theorem. For the minimal $T_0(\mu, p, [a, \infty))$, maximal $T(\mu, p, [a, \infty))$ and intermediate $S(\mu, p, [a, \infty))$ differential operators in $L^p(a, \infty)$, $1 \le p < \infty$, the following generalizations of the classic Weyl invariance essential spectrum theorem hold:

$$\begin{array}{l} \sigma_{ek}[S(\mu,p,[a,\infty))] = \sigma_{ek}[S(\tau,p,[a,\infty))], \quad k=1,2,2^{\pm},3, \\ \sigma_{ek}[T_0(\mu,p,[a,\infty))] = \sigma_{ek}[T_0(\tau,p,[a,\infty))], \quad k=4,5, \\ \sigma_{ek}[T(\mu,p,[a,\infty))] = \sigma_{ek}[T(\tau,p,[a,\infty))], \quad k=4,5, \end{array}$$

Using this base theorem it is possible to receive the exact formulas for a finding of essential spectra of perturbed differential operators with constant coefficients and Fuchsian differential operators.

References. 1. Erovenko V.A. // Math. Model. and Anal. 1998. V.3.
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