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## **A COMPARATIVE STUDY OF WHITE-BOX AND BLACK-BOX ADVERSARIAL ATTACKS TO THE DEEP NEURAL NETWORKS WITH DIFFERENT ARCHITECTURES**

**D. M. Voynov, V. A. Kovalev**

*United Institute of Informatics Problems  
Belarus National Academy of Sciences, Minsk, Belarus*

*E-mail: {voynovdd, vassili.kovalev}@gmail.com*

A few years ago, it was discovered that the Deep Convolutional Neural Networks (CNN) are vulnerable to so-called adversarial attacks. An adversarial attack supposes a subtle modification of an original image in such a way that the changes are almost invisible to the human eye. In this work, we are concentrating on biomedical images, which are playing the key role in the disease diagnosis and monitoring of various treatment processes. We present detailed results on the success rate for both white-box and black-box untar- geted attacks to five types of popular deep CNN architectures including InceptionV3, Xception, ResNet50, DenseNet121, and Mobilenet.

*Key words: convolutional neural networks; adversarial attacks; biomedical images.*

**Introduction.** Recently, the deep convolutional neural networks (deep CNNs) are widely used in different application domains. They have demonstrated high-quality results in a number of various image processing, image analysis, and image recognition tasks. One of the vital fields of application of related deep learning technologies is the medical diagnosis [1, 2] where corresponding neural network solutions are typically aimed at the biomedical image segmentation and classification. Once obtained, these classification results can be used for the generation of so-called “second opinion” to be considered by medical doctors who are making the final diagnostic decisions.

Unfortunately, a few years ago it was discovered that the deep CNNs are vulnerable to so-called adversarial attacks [3]. An adversarial attack supposes a subtle modification of an original image in such a way that the changes are almost invisible to the human eye. The modified image is called an adversarial (attacking) image, and when submitted to a classifier it is misclassified. Thus, the goal of modification of an input image is to fool the CNN and force it to make a wrong classification decision. The adversarial attacks are called the white-box attacks when the information about the architecture and all the weights of the target CNN is known to the attacker. Alternatively, in case no information about the target CNN is available the attack is termed as a black-box attack. Also, when the attacker wants to force CNN to categorize an image to a specific wrong class, such an attack is referred to as a targeted attack. Otherwise, when the goal is to force CNN to a wrong decision, no matter to which wrong class the adversarial image will be classified, such an attack called the untargeted one.

Currently, there are a number of papers dedicated to the problem of adversarial attacks (see, for example, [4-8]). However, the majority of these works studying various aspects of the problem of adversarial attacks to images belonging to the computer vision domain and even to such benchmarking image datasets as popular MNIST images of 10 digits.

In this work, we are concentrating on biomedical images, which are playing the key role in the disease diagnosis and monitoring of various treatment processes. It is clear that the security of computer-assisted diagnosis processes is of paramount importance. We present detailed results on the success rate for both white-box and black-box untargeted attacks to five types of popular deep CNN architectures including InceptionV3, Xception, ResNet50, DenseNet121, and Mobilenet. The image data being used are digital chest X-Ray images, 2D slices of Computed Tomography (CT) images as well as color histology images.

**Materials.** The image data used in this study are digital chest X-Ray images of norm of different age groups, 2D slices of Computed Tomography (CT)

images representing the norm and lung tuberculosis as well as color histology images sampled from normal and cancerous tissue of thyroid glands and the ovary. An additional benchmarking image dataset consisted of 6 classes of histological images stained with different histochemical markers. A detailed description of image data and the number of images in each class is given in table 1.

Table 1.

**Description of datasets and classification task configurations**

| Image type                                                                                                                      | Classification task                                                                      | Number of images, total | Number of images by classes                                                      |
|---------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|-------------------------|----------------------------------------------------------------------------------|
| Chest X-ray of Norm                                                                                                             | 2 age groups:<br>G1: 20-35 years<br>G2: 50-70 years                                      | 200,000                 | G1: 100,000<br>G2: 100,000<br>G3: 183,360                                        |
| Histology, Ovary cancer and cancer of Thyroid gland                                                                             | 4 classes:<br>C1: Ovary norm<br>C2: Ovary tumor<br>C3: Thyroid norm<br>C4: Thyroid tumor | 192,000                 | C1: 48,000<br>C2: 48,000<br>C3: 48,000<br>C4: 48,000                             |
| Histology images stained with conventional H&E method and specific targeted markers including CD31, CD105, D240, FRES, and Ki67 | 6 classes:<br>C1: CD31<br>C2: CD105<br>C3: D240<br>C4: FRES<br>C5: H&E<br>C6: Ki67       | 267,984                 | C1: 59,568<br>C2: 37,488<br>C3: 55,296<br>C4: 35,280<br>C5: 24,192<br>C6: 56,160 |
| Lungs CT, 2D axial slices, layers                                                                                               | 2 classes:<br>C1: Norm<br>C2: Tuberculosis                                               | 149,248                 | C1: 111,990<br>C2: 37,258                                                        |

**Methods.** The black-box settings followed in this study require complete knowledge of the training image dataset of the network to be attacked (target CNN) while its architecture and trained parameters remain unknown. The whole attacking pipeline is based on the white-box Projected Gradient Descent (PGD) algorithm which was applied in the following way:

1. Train the “basic” CNN on which the adversarial (i.e., attacking) images will be generated using the training dataset of the target network being under attack.
2. Perform PGD attack on the trained network for each image in particular testing dataset to obtain a set of adversarial examples.
3. Pass both the testing dataset and its adversarial examples to the target network to assess the rate of successful attacks.

We used the rate of successful attacks as an estimation for impact of suggested algorithm. In order to make the results clear and consistent we define a single testing dataset for each training dataset. We have performed a large number of experiments based on each image dataset for the following five network architectures: InceptionV3, ResNet50, DenseNet121, Mobilenet, Xception. To imitate the black-box constraints the following testing pipeline was implemented:

1. Select a single network as a target one.
2. Perform the defined black-box algorithm with each network left as an attacking ones separately.
3. Carry out steps 1-2 with subsequent selection of every network as a target one.

**Results.** Experiments described above were carried out for every dataset described in the section of materials. Results of these experiments are presented in Fig. 1 and 2.

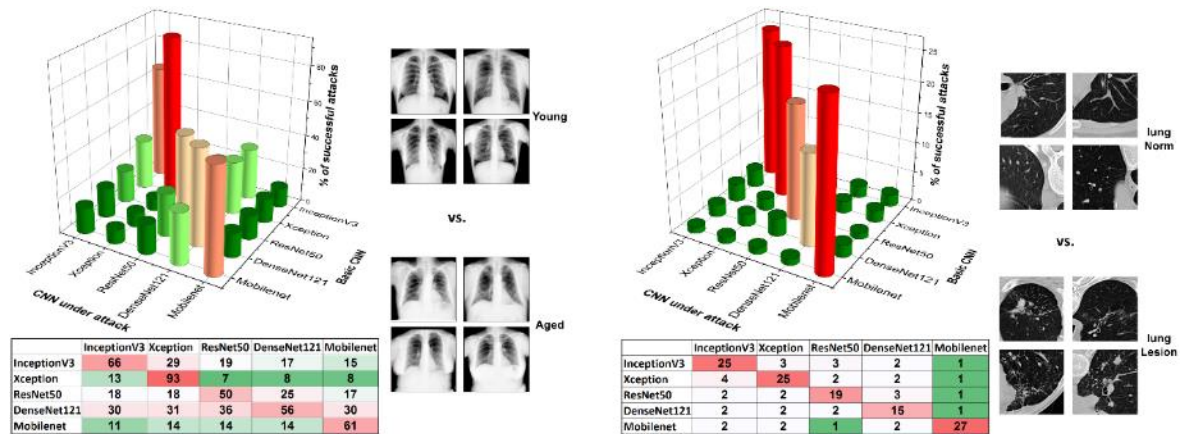


Fig.1. Results of adversarial attacks to X-Ray (left) and CT (right) images

The percentage of successful attacks is depicted both as the plots and corresponding data tables underneath. In order to ease interpretation of the results, the examples of original images of each class are provided on the right in each occasion. As it can be seen from the figures, every network is unstable under white-box attacking setup (see the bars on the leading diagonal). However, networks trained on CT and histology images of ovary and thyroid gland appear to be almost invulnerable to black-box attacks.

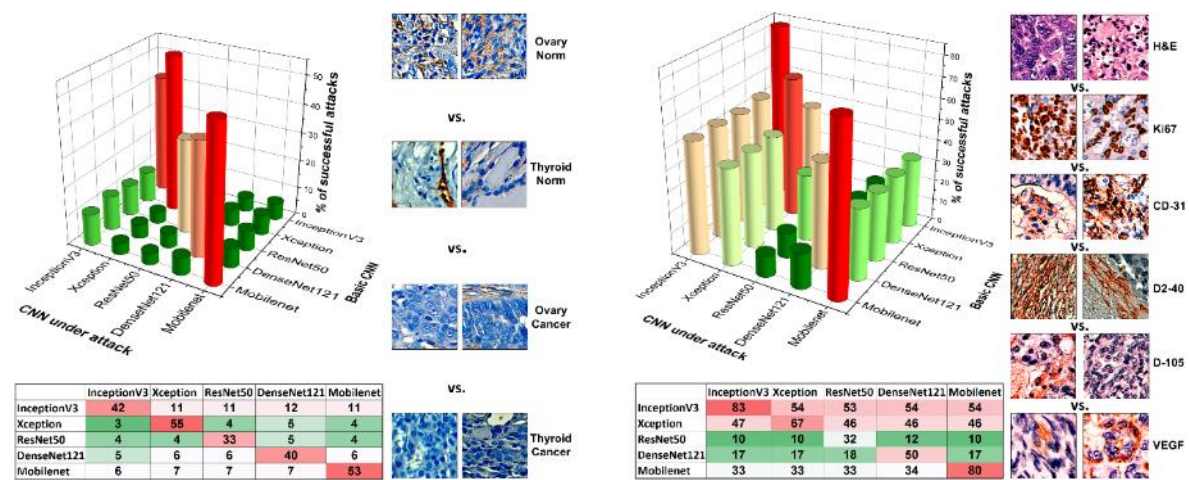


Fig.2. Results of adversarial attacks to histology images representing the Norm and Tumor classes of the ovary and thyroid gland (left) and 6 classes representing different histochemical markers (right)

**Conclusions.** Results obtained with this study allow drawing the following conclusions:

1. All tested networks are vulnerable to white-box adversarial attacks.
2. Network's vulnerability to black-box attacks strongly depends on the training dataset.

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