



Organocatalytic controlled anionic ring-opening polymerization of carbazole-containing thiiranes

Aliaksei A. Vaitusionak^a, Irina V. Vasilenko^{a,*}, Egle Jatautiene^b, Jurate Simokaitiene^b, Ausra Tomkeviciene^b, Jolita Ostrauskaite^b, Juozas V. Grazulevicius^b, Sergei V. Kostjuk^{a,c,d,*}

^a Research Institute for Physical Chemical Problems of the Belarusian State University, 14 Leningradskaya St., 220006 Minsk, Belarus

^b Department of Polymer Chemistry and Technology, Kaunas University of Technology, Radvilenu Rd. 19, LT-50254 Kaunas, Lithuania

^c Sechenov First Moscow State Medical University, Institute for Regenerative Medicine, 8/2 Trubetskaya St., 119991 Moscow, Russia

^d Department of Chemistry, Belarusian State University, 14 Leningradskaya St., 220006 Minsk, Belarus

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ABSTRACT

The anionic ring-opening polymerization of carbazole-containing monomers, (9-carbazolylmethyl)thiirane (**M1**) and (3,6-di-*tert*-butyl-9-carbazolylmethyl)thiirane (**M2**), with hexanethiol or pentaerythritol tetrakis(3-mercaptopropionate) (PETMP) as initiators and 1,5,7-triazabicyclo[4.4.0]dec-5-ene (TBD) as catalyst at 20 °C in tetrahydrofuran or *N,N*-dimethylformamide as solvents has been studied. The polymerization of these monomers proceeds in a living fashion affording linear and star-shaped polymers with controlled molecular weight ($M_n = 4000\text{--}15,000\text{ g mol}^{-1}$) and relatively low polydispersity ($\mathcal{D} < 1.3$). It was demonstrated that end-capping of polymers by trifluoroacetic anhydride allowed to protect thiol end groups from oxidative coupling, which typically leads to the broadening of molecular weight distribution of the synthesized polymers. The thermal, photophysical and electrochemical properties of the synthesized linear and star-shaped polymers were estimated.

1. Introduction

Poly(*N*-vinylcarbazole) is one of the extensively studied photoconductive polymers due to its application as hole transporting host in polymer light emitting diodes [1–4]. In order to tune electrochemical and photophysical properties of poly(*N*-vinylcarbazole), a great variety of polymers containing carbazole moieties in the side chain were successfully synthesized [5–7]. Firstly, poly(meth)acrylates and polystyrenes with pendant carbazolyl group were mainly synthesized through conventional radical mechanism [8–12], although there are several reports where such kind of polymers have been successfully obtained by anionic [13,14] and cationic [15] mechanisms. However, it was difficult to control the molecular weight and architecture of the synthesized polymers that significantly complicated the finding of the correlation between polymer structure and its electronic and photonic properties. The use of controlled radical polymerization technique allowed to prepare well-defined homopolymers [16–18], end-functionalized polymers as well as block copolymers [19–21] and even star polymers [22]. However, these examples are still limited to the polymerization of carbazole-containing (meth)acrylates and styrenes

[23–27].

In this respect, the controlled polymerization of heterocyclic carbazole-containing monomers, which will result in polymers with heteroatom in a main chain, might represent considerable interest and enrich the range of application of carbazole-based polymers [7]. Surprisingly, there are only few examples of ring-opening cationic [2,28,29] or anionic [29–32] polymerization of carbazole-containing oxiranes [2,28,30,31] and thiiranes [2,29,32]. In all cases, however, polymerizations proceeded in non-controlled fashion affording low molecular weight polymers ($M_n < 3000\text{ g mol}^{-1}$) with high polydispersity ($\mathcal{D} = 4\text{--}8$). For example, Andruleviciute et al. [29] showed that cationic photopolymerization of (9-carbazolylmethyl)thiirane (**M1**) is accompanied by many side reactions to afford polymers with $M_n = 3100\text{ g mol}^{-1}$ and $\mathcal{D} = 8.8$. The BF_3OEt_2 -coinitiated cationic polymerization of this monomer leads to polymers with $M_n = 2860\text{ g mol}^{-1}$ and $\mathcal{D} = 4.5$ [2], while anionic polymerization in the presence of BuLi as initiator results in poly-(9-(thiiran-2-ylmethyl)-9H-carbazole) with slightly higher molecular weight ($M_n = 5800\text{ g mol}^{-1}$) but still high polydispersity ($\mathcal{D} = 4.4$) [29].

On the other hand, anionic ring-opening polymerization of the

* Corresponding authors at: Research Institute for Physical Chemical Problems of the Belarusian State University, 14 Leningradskaya St., 220006 Minsk, Belarus (S.V. Kostjuk, I.V. Vasilenko).

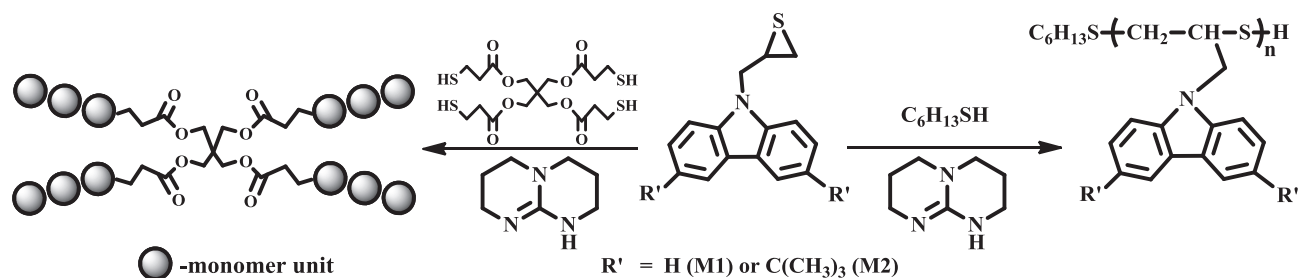
E-mail addresses: vasilenkoi@bsu.by (I.V. Vasilenko), kostjuks@bsu.by (S.V. Kostjuk).

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Scheme 1. Anionic ring-opening polymerization of **M1** and **M2** with $\text{C}_6\text{H}_{13}\text{SH/TBD}$ and PETMP/TBD initiating systems.

simplest thiiranes, such as methylthiirane or 2-hydroxymethylthiirane, can proceed in a living fashion in the presence of nucleophilic or basic initiators under high purity and aprotic conditions [33–36]. However, the deactivation of growing species occurs rapidly at the end of the polymerization [37]. Nevertheless, the living anionic ring-opening polymerization of methylthiirane in the presence of initiating system based on thiols as initiators and 1,8-diazabicyclo[5.4.0]undec-7-ene (DBU) as catalyst has been reported by Nicol et al. in 1999 [37]. In this system, DBU was used in strictly stoichiometric amount to initiator providing fast exchange between thiol and thiolate form that allows to synthesize polymethylthiirane with controlled molecular weight ($M_n = 1200\text{--}54,300 \text{ g mol}^{-1}$) and quite low polydispersity ($\text{Đ} < 1.1$). The same team also reported the synthesis of star-shaped polymethylthiiranes using 1,2,3-propanetriol and pentaerythritol tetrakis(3-mercaptopropionate) (PETMP) as multifunctional initiators in conjunction with DBU as catalyst [38].

In this article, we report on living anionic ring-opening polymerization of two thiirane derivatives containing carbazole group, (9-carbazolylmethyl)thiirane (**M1**) and (3,6-di-*tert*-butyl-9-carbazolylmethyl)thiirane (**M2**) (Scheme 1) using 1,5,7-triazabicyclo[4.4.0]dec-5-ene (TBD) as catalyst in conjunction with hexanethiol and pentaerythritol tetrakis(3-mercaptopropionate) (PETMP) as initiators. The linear and star-shaped polymers with controlled molecular weight and low polydispersity were synthesized for the first time from these two carbazole-containing monomers. We also demonstrated here that end-capping of the synthesized polymers by trifluoroacetic anhydride allows to prevent oxidative coupling of the thiolate end groups. A great advantage of proposed approach for the preparation of host polymers for polymer light emitting devices is the use of metal-free organic catalyst for conducting the polymerization that excludes the expensive stage of polymer purification from metal impurities.

2. Results and discussion

2.1. DFT calculations

In order to estimate the reactivity of (9-carbazolylmethyl)thiirane (**M1**) and (3,6-di-*tert*-butyl-9-carbazolylmethyl)thiirane (**M2**) in the anionic ring-opening polymerization, density functional theory (DFT) B3LYP method was used to calculate the charges on the C1 carbon atom of thiirane ring of methylthiirane, **M1** and **M2**, respectively. The negative charge on carbon atom of methylene group for both **M1** (−0.441) and **M2** (−0.441) monomers is almost the same as that for methylthiirane (−0.448) (Table 1). Based on these calculations, it was concluded that **M1** and **M2** can be polymerized in the presence of the

initiating system, which consists of thiol and bicyclic strong guanidine base. In this work we used 1,5,7-triazabicyclo[4.4.0]dec-5-ene (TBD), which is characterized by the higher activity in the ring-opening polymerization of cyclic ethers in comparison with DBU [39–42].

2.2. Synthesis of linear polymers

In a first series of experiments, the effect of solvent polarity, temperature and initiator to catalyst ratio on the anionic ring-opening polymerization of **M1** with $\text{C}_6\text{H}_{13}\text{SH/TBD}$ initiating system has been investigated (Table 2). The reaction is much faster in more polar DMF ($\epsilon = 36.7$) than in THF ($\epsilon = 7.6$) (entries 1, 2, Table 2) that could be explained by the shift of the equilibrium between thiol and thiolate ion (Scheme 1) toward thiolate ion in a more polar solvent. On the other hand, a good correlation between experimental and theoretical molecular weights and low polydispersity are observed for polymers synthesized in both solvents. The decrease of TBD to thiol ratio leads to some decrease of reaction rate as well as to polymers with higher than theoretical molecular weight and relatively high polydispersity (entries 3, 4, Table 2) due to slow and/or incomplete initiation. The decrease of the reaction temperature leads to strong reduction of the reaction rate as well as to polymers with lower than theoretical molecular weight (entries 2, 5, Table 2). Taking into account these preliminary studies, all further experiments were conducted at 20 °C and at equimolar initiator to catalyst ratio.

To proof the livingness of the anionic ring-opening polymerization of **M1** with $\text{C}_6\text{H}_{13}\text{SH/TBD}$ initiating system, the polymerizations were performed at different monomer to initiator ratios. The increase of monomer to initiator ratio results in the increase of molecular weight of polymers synthesized in THF, although experimental values of M_n are lower than theoretical ones (entries 2, 6, Table 2). The underestimation of M_n values is consistent with the determination of molecular weight by SEC calibrated against polystyrene standards. Indeed, M_n s determined by NMR are much closer to theoretically calculated molecular weights (Table 2). More accurate control of the molecular weights of the obtained linear poly-(9-carbazolylmethyl)thiiranes is observed in DMF: M_n increases with decreasing initiator concentration up to $M_n = 16,000 \text{ g mol}^{-1}$, experimental values of M_n correlate well with theoretical ones, while polydispersity is slightly lower in comparison with that for polymers prepared in THF (entries 1, 7, 8, Table 2). Despite of better control over the polymerization of **M1** with $\text{C}_6\text{H}_{13}\text{SH/TBD}$ initiating system in DMF, the kinetic investigations were performed in THF due to the extremely high reaction rate in DMF as a solvent (Table 2).

As shown in Fig. 1a, the first order-plots are linear and passed through the zero for different $[\text{M}]/[\text{I}]$ ratios studied. The number-average molecular weights of the obtained polymers increase in direct proportion to monomer conversion, while experimental values of M_n correlate well with theoretical ones for $[\text{M}]/[\text{I}] = 20$. At higher $[\text{M}]/[\text{I}]$ ratio ($[\text{M}]/[\text{I}] = 40$), some deviation of experimental values of molecular weights from theoretical line is observed (Fig. 1b) due to the underestimation of M_n of poly-(9-carbazolylmethyl)thiiranes by SEC calibrated against polystyrene standards. The polydispersity is low and

Table 1
NBO atomic charges for methylthiirane, **M1** and **M2**.

Monomer	Charge on C1
Methylthiirane	−0.448
M1	−0.441
M2	−0.441

Table 2
Controlled anionic ring-opening polymerization of **M1** and **M2** using C₆H₁₃SH/TBD initiating system.^a

Entry	Monomer	Solvent	[M]/[I]	Time (min)	Conv (%)	M _n (NMR) ^b	M _n (theor) ^c (g mol ⁻¹)	M _n ^d (g mol ⁻¹)	Đ ^d
1	M1	DMF	20	0.25	100	–	4900	4400	1.21
2	M1	THF	20	15	100	5380	4900	4700	1.25
3 ^e	M1	THF	20	20	91	–	4500	6100	1.35
4 ^f	M1	THF	20	20	92	–	4500	6100	1.33
5 ^g	M1	THF	20	90	66	2400	3300	1400	1.18
6	M1	THF	40	60	100	–	9700	7000	1.20
7	M1	DMF	40	0.50	100	8300	9700	7900	1.12
8	M1	DMF	80	1	100	–	19,250	15,700	1.18
9	M2	THF	20	60	100	8200	7100	5400	1.19

^a Polymerization conditions: [M] = 0.3 M; [TBD]/[C₆H₁₃SH] = 1; T = 20 °C.

^b Calculated from ¹H NMR spectra.

^c Calculated with equation: M_n(theor) = M_n(M) × ([M]₀/[I]₀) + M_n(I).

^d Determined by SEC in THF against polystyrene standards.

^e [TBD]/[C₆H₁₃SH] = 0.5.

^f [TBD]/[C₆H₁₃SH] = 0.25.

^g T = –15 °C.

does not change with the increasing of monomer conversion for both of [M]/[I] ratios studied (Fig. 1b). Moreover, the SEC traces shift toward high molecular weight region with the increase of monomer conversion (Fig. 1c). These results confirm that anionic ring-opening polymerization of **M1** with C₆H₁₃SH/TBD initiating system proceeds in a living fashion.

The anionic ring-opening polymerization of another thiirane monomer, (3,6-di-*tert*-butyl-9-carbazolylmethyl)thiirane (**M2**), was then briefly investigated using the same initiating system at 20 °C and at equimolar initiator to catalyst ratio. As it can be seen from Fig. S1a and S1b, the polymerization of **M2** also proceeds in a living fashion: the first-order plot is linear, while M_n increases with increasing conversion and polydispersity is low (Đ < 1.2). Interestingly, the rate of the polymerization of **M2** is lower than that of **M1** (Fig. S1a) despite of similar values of the negative charge on C1 carbon atom (Table 1). The observed difference in the polymerization rate for **M1** and **M2** can be explained by steric hindrance arising during the polymerization of bulky **M2**.

The chain end structure of the synthesized polymers was analysed by ¹H NMR spectroscopy (Fig. 2, Fig. S2). Among the signals of aliphatic (1.5–4.5 ppm) and aromatic (6.5–8.0 ppm) protons of the main chain, the signals of methyl (*i*, 0.8–0.9 ppm) and methylene protons (*j* (1.25 ppm), *k* (~1.55 ppm), *l* (~2.80 ppm)) of initiator fragment in the polymer chain could be easily identified. The signal of proton of thiol group at the chain end (*h*, 2.0–2.1 ppm) overlaps with the signals of main chain protons. Taking into account the overlapping of signals of methylene protons (*k*, *l*) of initiator fragment with the main chain protons signals, M_n(NMR) was calculated based on the intensities of signal of methyl protons of initiator fragment at 0.8–0.9 ppm (*i*) and methylene protons (*c*) at 3.9 ppm. The number-average molecular weights for poly-(9-carbazolylmethyl)thiirane calculated as M_n(NMR) = [3I(*c*)/2I(*i*) × M_r(M1) + M_r(C₆H₁₃SH)] were typically slightly higher than those obtained by SEC (Table 2). These data confirm some underestimation of M_{n,s} determination by SEC against polystyrene standards for linear polymers.

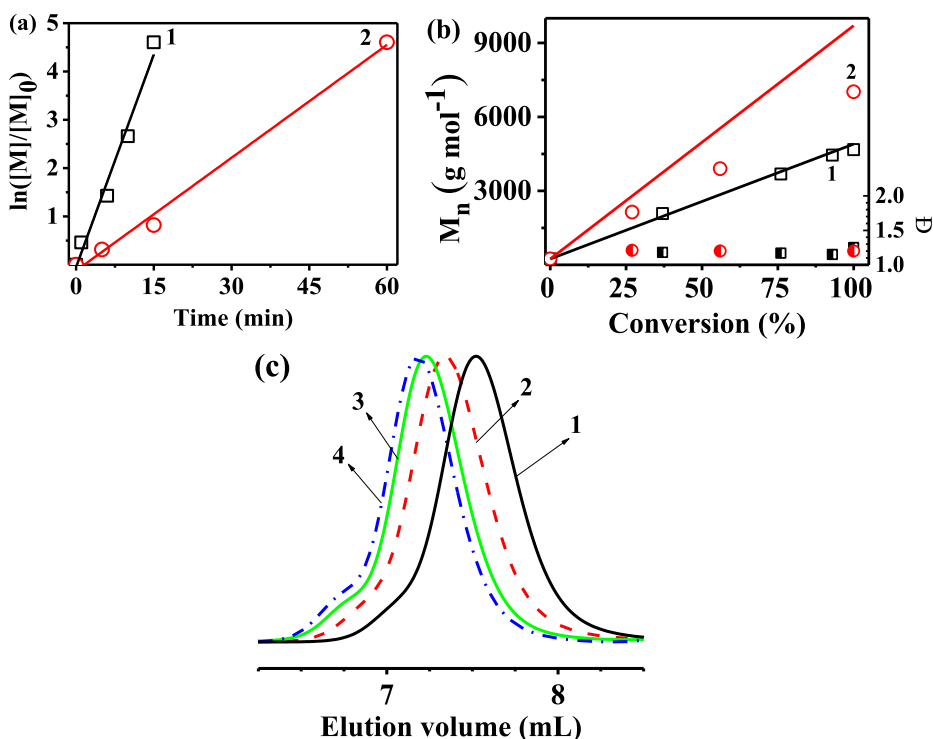


Fig. 1. (a) First-order plots, (b) M_n, Đ vs. conversion plots for **M1** polymerization using C₆H₁₃SH/TBD initiating system at 20 °C in THF. Polymerization conditions: [M1] = 0.3 M, [C₆H₁₃SH] = 0.015 M, [TBD] = 0.015 M (1); [M1] = 0.3 M, [C₆H₁₃SH] = 0.0075 M, [TBD] = 0.0075 M (2). The straight lines in (b) correspond to theoretical M_n. (c) SEC traces of poly-(9-carbazolylmethyl)thiiranes at different monomer conversions (%): 37 (1), 76 (2), 93 (3), 100 (4).

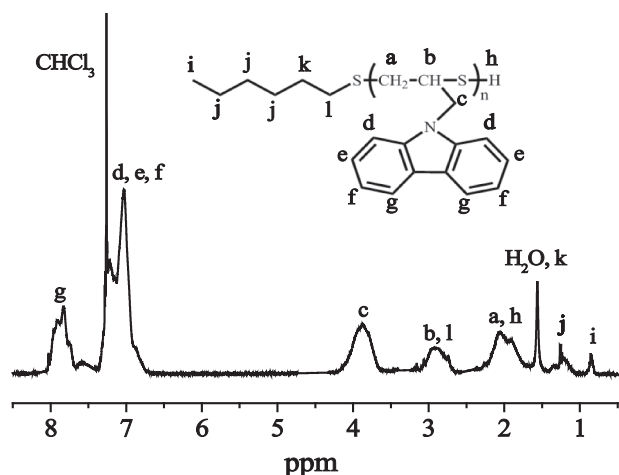


Fig. 2. ^1H NMR spectrum of the linear poly(9-carbazolylmethyl)thiirane synthesized with $\text{C}_6\text{H}_{13}\text{SH}/\text{TBD}$ initiating system (entry 2, Table 2).

2.3. Synthesis of star-shaped polymers

There are two main approaches for the star-shaped polymers synthesis: arm-first method [43–45] and core-first method [46–48]. In this work, star-shaped polythiiranes were synthesized by core-first method from **M1** and **M2** using tetrathiol PETMP as a multifunctional initiator and TBD as a co-initiator (Table 3).

According to Table 3, the number-average molecular weight of star-shaped poly-(9-carbazolylmethyl)thiirane increases in direct proportion to $[\text{M}]/[\text{I}]$ ratio, while polydispersity remains relatively low ($\text{Đ} < 1.3$). However, the experimental values of M_n determined by SEC against polystyrene standards are much lower in comparison with theoretical ones (Table 3). This discrepancy could be explained by known underestimation of molecular weight of star-shaped polymers measured by conventional SEC [43,44]. The use of ^1H NMR spectroscopy for determination of real number-average molecular weight of star-shaped poly-(9-carbazolylmethyl)thiirane, in contrast to linear counterpart (see Fig. 2 and discussion therein), is not applicable due to the overlapping of signals of protons of head and end groups with those of main chain (*vide infra*). Therefore, we used triple detection size exclusion chromatography to analyze some samples of star-shaped poly-(9-carbazolylmethyl)thiirane. Indeed, the number-average molecular weights determined by triple SEC are much higher than those obtained by conventional SEC and slightly higher than theoretical molecular weights (Table 3). Taking into account the more accurate determination of molecular weight by triple SEC, a calibration curve of $M_n(\text{SEC})$ versus $M_n(\text{triple detection})$ was constructed (Fig. S3) so as to correct

$M_n(\text{SEC})$ values according to following equation: $M_n(\text{corr.}) = 2.35 \times M_n(\text{SEC}) + 190$ (Table 3). The corrected values of M_n were used further to construct M_n versus conversion plots (Fig. 3b).

The first-order plots for the polymerization of **M1** using PETMP/TBD initiating system at different $[\text{M}]/[\text{I}]$ ratios are linear indicating the absence of irreversible termination (Fig. 3a). The number-average molecular weights increase in direct proportion to monomer conversion, while the experimental values of M_n correlate well with theoretical ones for all $[\text{M}]/[\text{I}]$ ratios studied (Fig. 3b) indicating the absence of chain transfer during the synthesis of star-shaped polymers. The polydispersity is low ($\text{Đ} < 1.3$) and does not change with the monomer conversion for both of $[\text{M}]/[\text{I}]$ ratios studied (Fig. 3b). Moreover, the addition of the second portion of monomer after consuming of the first one results in doubling of M_n , while SEC trace completely shifts toward high molecular weight region (Fig. 3c). To summarize, the synthesis of star-shaped poly-(9-carbazolylmethyl)thiirane, similarly to synthesis of linear counterpart, proceeds in a living fashion. Then, the effect of $[\text{TBD}]/[\text{PETMP}]$ ratio on the reaction rate as well as properties of the obtained star-shaped polymers were briefly investigated (Table S1, Fig. S4). For $[\text{M}]/[\text{I}] = 20$, the decrease of TBD to thiol ratio leads to the decrease of the reaction rate (Table 3, Fig. S4a), although the polydispersity and molecular weight are similar to those for polymer synthesized at $[\text{TBD}]/[\text{PETMP}] = 4$ (Table S1). However, the inspection of $M_n(\text{corr.})$ versus conversion plots reveals the non-linearity of these dependences (Fig. S4b) indicating the occurrence of side reactions. Moreover, at higher monomer to initiator ratio ($[\text{M}]/[\text{I}] = 40$), the significant increase of polydispersity is observed (Table S1). These results are consistent with slow and/or incomplete initiation when an excess of thiol over the co-initiator is used. Therefore, for the synthesis of well-defined star-shaped poly-(9-carbazolylmethyl)thiiranes, similarly to the preparation of linear polymers, the stoichiometric amount of TBD in respect to thiol group is required. Predictably the polymerization of **M2** using PETMP/TBD initiating system proceeds at the lower rate than that of **M1** (Table 3, Fig. S5a) as it was also observed for $\text{C}_6\text{H}_{13}\text{SH}/\text{TBD}$ initiating system.

Nevertheless, the first-order plot is linear for the polymerization of **M2** with PETMP/TBD initiating system, while M_n s of the star-shaped poly-(3,6-di-*tert*-butyl-9-carbazolylmethyl)thiirane increase linearly with the increase of monomer conversion (Fig. S5a and S5b) and correlate well with the theoretical ones. In addition, the polydispersity does not change during the polymerization and remains relatively low ($\text{Đ} < 1.35$). Therefore, the polymerization of **M2**, similarly to polymerization of **M1**, proceeds in a living fashion.

The structure of the synthesized star-shaped polymer was investigated by NMR spectroscopy. ^1H NMR spectrum of star-shaped poly-(9-carbazolylmethyl)thiirane (Fig. S6) does not show any signals of protons of initiator fragment in a polymer chain indicating their overlapping with the signals of main chain protons. To confirm that and

Table 3
Polymerization of **M1** using PETMP/TBD initiating system.^a

Entry	$[\text{M}]/[\text{I}]$	Time (min)	Conv (%)	$M_n(\text{theor})^b$ (g mol^{-1})	$M_n(\text{SEC})^c$ (g mol^{-1})	Đ^c	$M_n(\text{SEC})^d$ (g mol^{-1})	Đ^d	$M_n(\text{corr.})^e$ (g mol^{-1})
1	15	0.2	100	4220	2300	1.21	5240	1.12	5600
2	20	0.25	100	5300	2600	1.24	6830	1.12	6300
3	40	4	100	10,100	3900	1.27	9700	1.14	9400
4	60	15	100	14,830	7100	1.25	–	–	16,900
5 ^f	100	1	100	24,400	12,600	1.39	–	–	29,800
6 ^g	20	6	100	7500	4300	1.30	–	–	10,300
7 ^g	40	10	76	11,200	5400	1.34	–	–	12,900

^a Polymerization conditions: $[\text{M}] = 0.3 \text{ M}$; $[\text{TBD}]/[\text{PETMP}] = 4$; solvent – THF; $T = 20^\circ\text{C}$.

^b Calculated with equation: $M_{n,\text{theor}} = M_n(\text{M}) \times ([\text{M}]_0/[\text{I}]_0) + M_n(\text{I})$.

^c Determined by SEC in THF against polystyrene standards.

^d Determined by triple detection size-exclusion chromatography in THF.

^e $M_n(\text{SEC})$ was corrected using the following equation: $M_n(\text{corr.}) = 2.35 \times M_n(\text{SEC}) + 193$.

^f DMF was used as a solvent.

^g 3,6-Di-*tert*-butyl-9-(thiiran-2-ylmethyl)-9H-carbazole (**M2**) was polymerized.

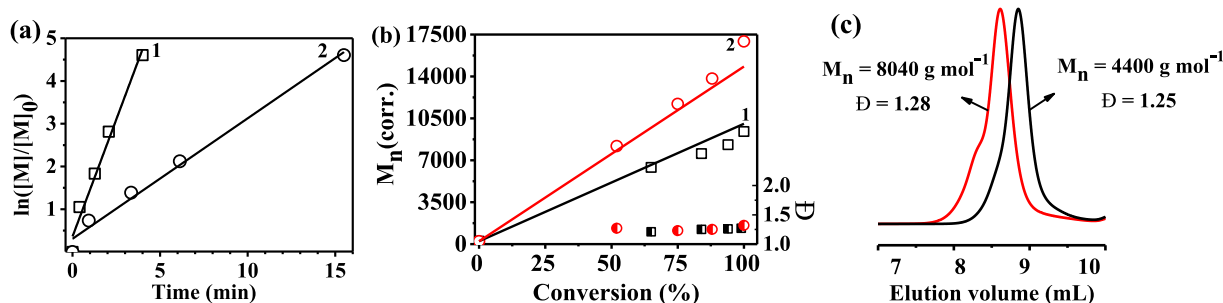


Fig. 3. (a) First-order plots, $M_n(\text{corr.})$, (b) \bar{D} vs. conversion plots for **M1** polymerization using PETMP/TBD initiating system at 20 °C in THF. Polymerization conditions: $[M1] = 0.3 \text{ M}$, $[PETMP] = 0.0075 \text{ M}$, $[TBD] = 0.03 \text{ M}$ (1); $[M1] = 0.3 \text{ M}$, $[PETMP] = 0.005 \text{ M}$, $[TBD] = 0.02 \text{ M}$ (2). The straight lines in Fig. 3b correspond to theoretical M_n . (c) SEC traces of star-shaped poly(9-(thiiran-2-ylmethyl)-9H-carbazoles) before (1) and after (2) addition of second portion of monomer.

to identify the exact position of the signals of protons of head group, 2D HSQC (^1H -DEPT ^{13}C) correlation NMR spectrum was recorded and analyzed (Fig. 4). Along with the signals of main chain protons (aliphatic *a-c* (1.6–4.5 ppm) and aromatic *d-g* (6.8–8.1 ppm)), the signals of three groups of methylene protons *j*, *i* and *h* of tetrafunctional initiator were identified at $\{4.0\text{--}4.1 \times 62.5\}$ ppm, $\{2.3\text{--}2.5 \times 36\}$ ppm and $\{2.4\text{--}2.6 \times 29\}$ ppm respectively. The signals of terminal monomer unit *k* ($\{1.9\text{--}2.2 \times 38\}$ ppm), *l* ($\{3.1\text{--}3.3 \times 40\}$ ppm) and *m* ($\{3.9\text{--}4.1 \times 50\}$ ppm) can be also identified.

2.4. End-capping with trifluoroacetic anhydride

The synthesized poly-(9-carbazolylmethyl)thiiranes, especially star-shaped polymers, are not stable under ambient conditions that leads to the appearance of shoulder in high molecular weight region in SEC chromatograms (Fig. 5a). The fraction of high molecular weight product increases with the increasing of storage time. This observation is consistent with high reactivity of thiol end groups leading to their oxidative coupling in the presence of oxygen [38].

It should be noted that this effect (oxidative coupling) is less pronounced in case of linear poly-(9-carbazolylmethyl)thiirane at room temperature, but becomes significant upon heating of polymer to 45 °C (Fig. S7). The storage of polymer in refrigerator at 7 °C allows to suppress the oxidative chain coupling significantly (Figs. 5b and S8): only small shoulder is appeared in SEC curves during the storage for 15 months for both star-shaped (Fig. 5b) and linear (Fig. S8) polymers.

Another approach to protect synthesized polymers from oxidative coupling using in this work was end-capping of growing chains by trifluoroacetic anhydride (Scheme 1). Since ^1H NMR spectroscopy is not informative due to the overlapping of signals of protons of end group with those of main chain protons (Fig. 4), ^{19}F NMR was recorded to confirm the efficiency of end-capping reaction (Fig. S9). The protected star-shaped polymers were additionally analyzed by SEC method (Fig. 5c): the shape of SEC curves does not change even after heating of polymer at 45 °C during one week in air. These results suggest about high efficiency of protection of thiol end groups from oxidative coupling by end-capping with trifluoroacetic anhydride. The high reactivity of thiol end group towards nucleophilic substitution demonstrated here opens an access to functionalization of star-shaped poly-(9-carbazolylmethyl)thiirane with different functional groups (for example (meth)acrylate group) to prepare cross-linkable polymers.

2.5. Properties

The thermal properties of monomers (**M1**, **M2**) and the synthesized linear (**LP1**, **LP2**) and star-shaped polymers (**SP1**, **SP2**) were studied by DSC and TGA. The values of melting points (T_m), glass transition temperatures (T_g), and temperatures at which 5% loss of mass (T_{10}) were

observed are summarized in Table 4, Figs. S10 and S11. All the synthesized polymers demonstrated relatively high thermal stability. The values of polymers T_{10} were found to be about 300 °C as confirmed by TGA at the heating rate of 20 °C/min. The lower thermal stability of the monomers relative to that of the corresponding polymers can be explained by the presence of the reactive vinyl group and by the lower intermolecular interactions. **M2**, which contains 3,6-di-*tert*-butyl substituted carbazole groups, exhibits higher T_{10} than the monomer having unsubstituted carbazole groups, apparently, due to the enhanced intermolecular interactions [2].

The monomers **M1** and **M2**, which were crystalline materials, showed endothermic melting signals in the first heating scans at 104 and 137 °C, respectively. Monomer **M2** can be also transformed into the glassy state ($T_g = 37$ °C) by cooling from the melt as it was shown recently [2]. Glass transition temperatures (T_g) for **LP1** and **SP1** were found to be higher than T_m of the corresponding monomers. Polymers were isolated as amorphous materials. No peaks due to crystallization and melting in their DSC scans were observed and only glass transitions could be noticed there. The glass transition temperature of **LP2** is higher than that of **LP1** due to the presence of more bulky substituent in a monomer unit. In addition, the glass transition temperature of the star-shaped polymers was found to be lower than of their linear counterparts (Table 4) that is correlated well with the literature data [49].

Absorption and fluorescence spectra of dilute THF solutions of polymers were recorded to determine absorption and fluorescence maxima (Table 4, Figs. S12 and S13). Maxima of absorption spectra are almost identical for all polymers, but slight absorption shift for **LP2** and **SP2** can be attributed to the slightly different steric environment due to the presence of substituents in the carbazole ring. Fluorescence spectra give two maxima for all linear and star-shaped polymers: 351, 364 nm for **LP1** and **SP1**, 360, 372 nm for **LP2** and 358, 372 nm for **SP2**, respectively (Table 4). There is no significant difference in the photo-physical properties between linear and star-shaped polymers.

To estimate the energetic conditions for energy and electron transfer under diluted conditions, the ionization potentials ($I_{p(\text{CV})}$) and electron affinities ($E_{A(\text{CV})}$) of the linear and star shaped polymers of different molecular weight were established by cyclic voltamperometry (Table 5).

Cyclic voltammograms of linear and star-shaped polymers have the similar shape (some difference is observed for **LP2** and **SP2**) and show quasi-reversible oxidation (Fig. 6). Ionization potentials established by cyclic voltamperometry ($I_{p(\text{CV})}$) of **LP1** and **SP1** are comparable (5.24–5.34 eV and 5.30 eV, respectively), while some difference was observed for **LP2** and **SP2** (5.66 and 5.26 eV, respectively) (Table 5). The electron affinity ($E_{A(\text{CV})}$) values of the polymers show the same tendency as $I_{p(\text{CV})}$ values. Interestingly, both $I_{p(\text{CV})}$ and $E_{A(\text{CV})}$ of poly-(9-carbazolylmethyl)thiirane increase with increasing molecular weight of polymer (Table 5).

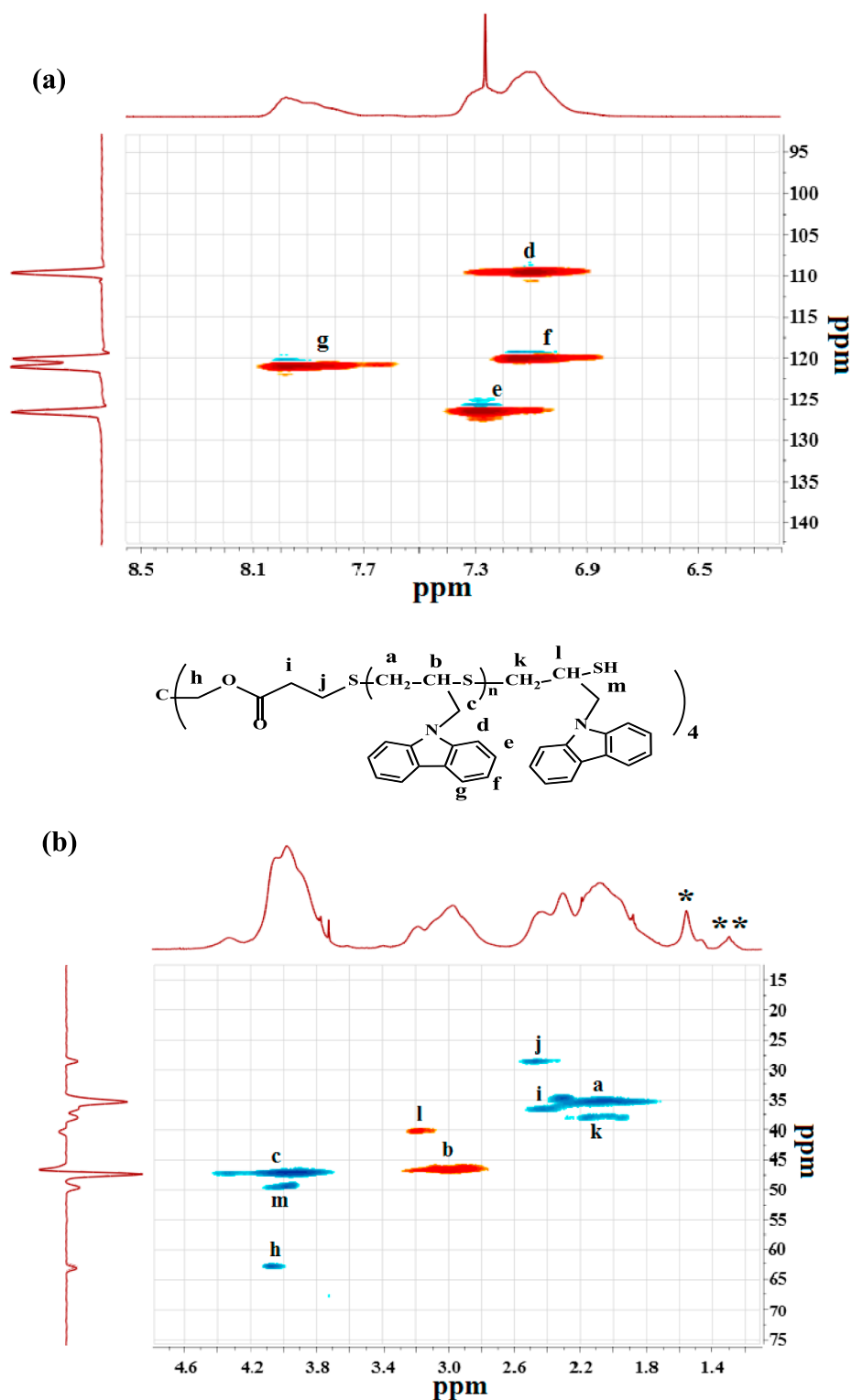


Fig. 4. Aromatic (a) and aliphatic (b) parts of HSQC (^1H -DEPT ^{13}C) NMR spectrum of the star-shaped poly-(9-carbazolylmethyl)thiirane synthesized with PETMP/TBD initiating system (entry 3, Table 3). * – water, ** – grease.

3. Experimental

3.1. Materials

THF (Sigma-Aldrich, $\geq 99\%$) was treated with KOH and distilled twice from Na under an inert atmosphere. DMF (Sigma-Aldrich,

$\geq 99.8\%$) was distilled from CaH_2 under reduced pressure. 1,5,7-Triazabicyclo[4.4.0]dec-5-ene (Sigma-Aldrich, $\geq 98\%$) was vacuum dried at room temperature before use. Pentaerythritol tetrakis(3-mercaptopropionate) (Sigma-Aldrich, $\geq 95\%$), trifluoroacetic anhydride (Sigma-Aldrich, $\geq 99\%$), CDCl_3 (Euriso-top[®]) and ethanol (96%) were used as received. 1-Hexanethiol [50] and monomers (9-

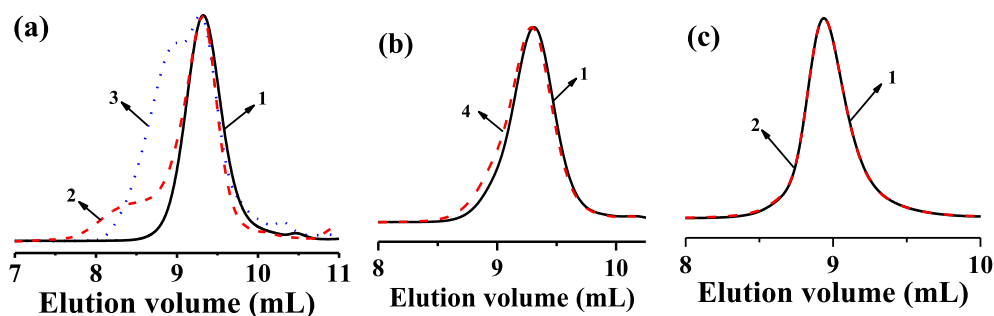


Fig. 5. SEC curves of (a, b) unprotected star-shaped poly(9-carbazolylmethyl)thiiranes just after polymerization (1); after storage for 10 days (2) and for 15 months (3) under an air atmosphere at r.t.; after storage for 15 months under air atmosphere at 7 °C (4) and (c) protected star-shaped poly(9-carbazolylmethyl)thiirane before (1) and after (2) storage for 1 week under an air atmosphere at 45 °C.

Table 4

Thermal and photophysical characteristics of monomers and synthesized linear and star-shaped polymers.

Compound	M_n (g mol ⁻¹)	T_m^a (°C)	T_g^a (°C)	T_{ID}^c (°C)	$\lambda^{d,e}$ (nm)	$\lambda^{d,f}$ (nm)
M1	239	106	–	234	–	–
LP1	6000	–	122	298	343	351; 364
SP1	10,000	–	117	298	343	351; 364
M2	351	137	37	283	–	–
LP2	5400	–	148	299	349	360; 372
SP2	10,500	–	94 ^b	294	349	358; 372

^a Determined by DSC from second heating scan: scan rate 20 °C/min; N₂ atmosphere.

^b Determined by DSC from second heating scan: scan rate 30 °C/min; N₂ atmosphere.

^c 5% weight loss determined by TGA: heating rate 20 °C/min; N₂ atmosphere.

^d Absorption and fluorescence ($\lambda_{exc} = 310$ nm) spectra were recorded of dilute THF solutions (10⁻⁴ mol/L) of the polymers.

^e Absorption maxima.

^f Fluorescence maxima.

Table 5

Electrochemical parameters of the linear and star-shaped polymers.

Compound	M_n, SEC (g mol ⁻¹)	E_{onset}^{ox} vs Fc ^a (V)	E_g^{optb} (eV)	$I_{P(CV)}^c$ (eV)	$E_{A(CV)}^c$ (eV)
LP1	4400	0.92	3.53	5.24	1.71
LP1	5800	0.94	3.53	5.26	1.73
LP1	7800	1.02	3.53	5.34	1.81
SP1	10,000	0.98	3.53	5.30	1.77
LP2	5400	1.34	3.46	5.66	2.20
SP2	10,500	0.94	3.46	5.26	1.80

^a The onset oxidation potentials with respect to ferrocene measured by CV from the first redox cycle.

^b The optical band gaps estimated from the edges of electronic absorption spectra.

^c Ionization potentials and electron affinities obtained by CV data according to the equations: $I_{P(CV)} = 4.8 + E_{onset}^{ox}$ vs Fc and $E_{A(CV)} = I_{P(CV)} - E_g^{opt}$.

carbazolylmethyl)thiirane (**M1**) and (3,6-di-*tert*-butyl-9-carbazolylmethyl)thiirane (**M2**) [2] were synthesized according to the literature procedures.

3.2. Instrumentation

Size exclusion chromatography (SEC) was performed on an Ultimate 3000 Thermo Scientific apparatus with Agilent PLgel 5 μ m MIXED-C (300 \times 7.5 mm) column and one precolumn (PLgel 5 μ m guard 50 \times 7.5 mm) thermostated at 30 °C. The detection was achieved by differential refractometer (thermostated at 35 °C). Tetrahydrofuran (THF) was eluted at a flow rate of 1.0 mL/min. The calculation of molar mass and polydispersity was carried out using polystyrene standards (Polymer Labs, Germany). Molecular weights of the star-shaped

polymers were also determined using Agilent Technologies 1260 Infinity chromatograph (the Agilent 1260 Infinity Multi-Detector GPC/SEC System), equipped with differential refractometer (DRI, wavelength 660 nm), viscosimetric (VS), and light-scattering (LS) detectors (Rayleigh scattering angles 15° and 90°; laser wavelength/power 660 nm/50 mW) at the temperatures of 40 °C. The setup included a set of sequentially connected columns: a precolumn PLgel 5 μ m guard 50 \times 7.5 mm and two styragel columns Agilent Technologies PLgel 5 μ m MIXED-C, 300 \times 7.5 mm. The mobile phase is tetrahydrofuran. The mobile phase flow rate is 1.0 mL/min. The absolute molecular weight and molecular-weight distribution were calculated using ASTRA for Windows (Version 7.1.0.29) with known specific refractive index increment (dn/dc) and known RI detector calibration constant. The dn/dc of 0.1766 was obtained from the slope of plot $\Delta n \sim$ concentration. ¹H (500 MHz) and ¹H{DEPT ¹³C} HSQC NMR spectra were recorded in CDCl₃ at 25 °C on a Bruker AC-500 spectrometer calibrated relative to the solvent peaks. Differential scanning calorimetry (DSC) measurements were carried out using a DSC Q2000 thermal analyzer at a heating rate of 20 °C/min under nitrogen flow. Thermogravimetric analysis (TGA) was performed on a TGA Q50 apparatus. Absorption and fluorescence spectra were recorded on Perkin Elmer Lambda 35 spectrometer. For these measurements, the dilute solutions of the investigated compounds were prepared by dissolving them in a spectral grade THF at 10⁻⁴ M concentration.

Cyclic voltammetry (CV) measurements were carried out using a three-electrode assembly cell from Bio-Logic SAS and a micro-AUTOLAB Type III potentiostat-galvanostat. The measurements were carried out with a glassy carbon electrode, Ag/AgNO₃ as the reference electrode and a Pt wire as the counter electrode in dichloromethane solutions containing 0.1 M tetrabutylammonium perchlorate as the electrolyte. The E_{HOMO} energy values of the compounds were determined from oxidation potentials, taking the value of -4.8 eV as the E_{HOMO} energy level for ferrocene (Fc) with respect to the zero vacuum level [2]. Ionization potentials (I_p) of the films of the synthesized compounds were measured by the electron photoemission in air method as described before [2].

3.3. Polymerization procedure

Polymerization was carried out under dry argon atmosphere in a Schlenk tube. Liquid reagents were transferred to reactor via dry syringes against a continuous argon flow. The reaction was initiated by adding a 0.1 M solution of TBD in THF (0.052 mL) to a mixture of (9-carbazolylmethyl)thiirane (0.050 g), THF (0.593 mL) and 0.1 M solution of C₆H₁₃SH in THF (0.052 mL) at room temperature. After a pre-determined time, ~ 0.15 mL aliquots were withdrawn and poured into excess of ethanol. The precipitated polymers were separated from the solution by centrifugation and then dried in vacuum at 40 °C. Monomer conversions were determined gravimetrically. For further analyses polymers were dissolved in CHCl₃ and precipitated in an excess of ethanol and then dried in vacuum.

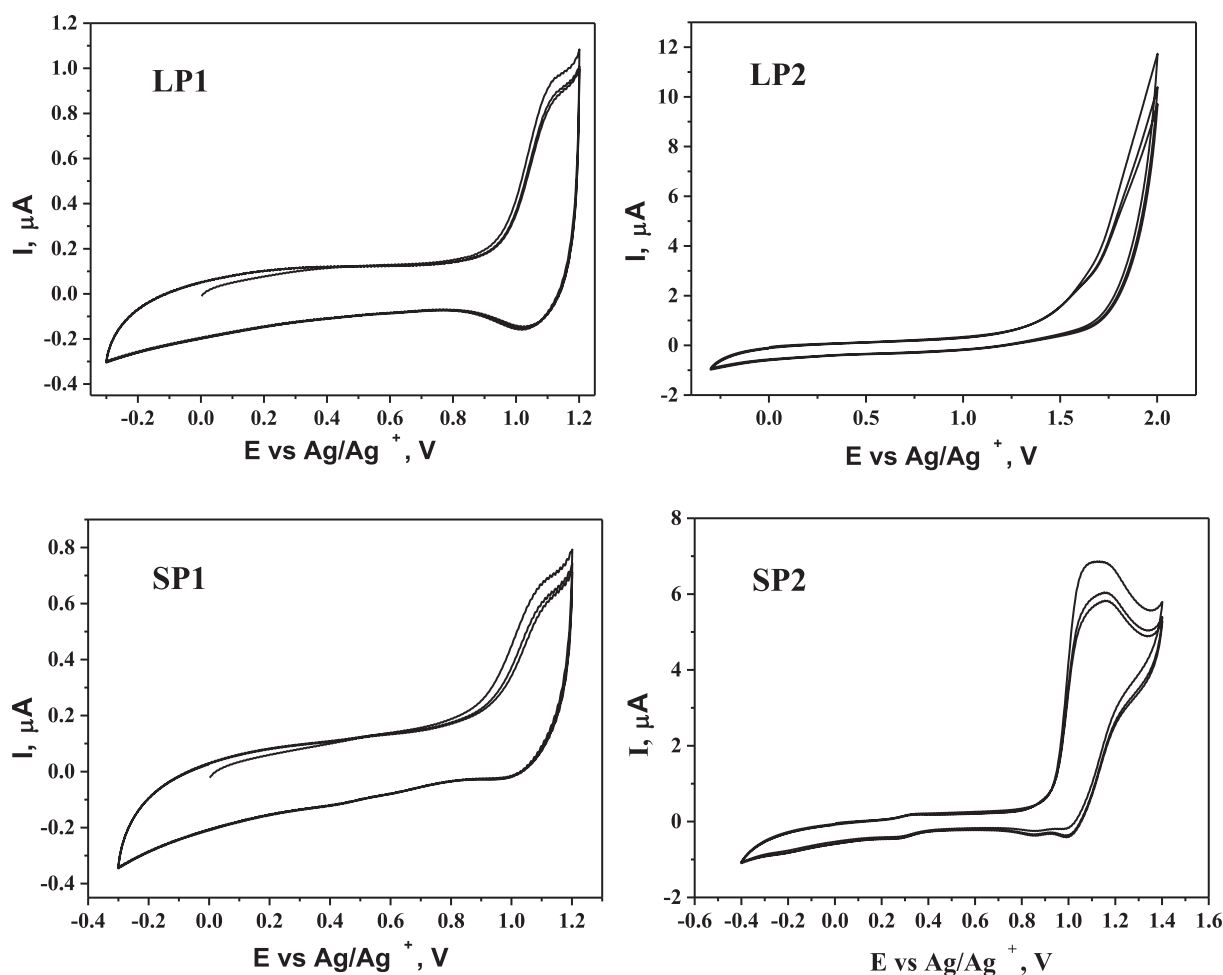


Fig. 6. Cyclic voltammograms of the synthesized polymers recorded at scan rate 50 mV/s vs Ag/Ag^+ in a solution of tetrabutylammoniumperchlorate (0.1 M) in CH_2Cl_2 .

3.4. DFT calculations

All calculations were performed as described [15]. All optimized structures were checked to be minima, with no imaginary frequencies. The solvent effects were evaluated using the polarized continuum model (PCM) with the default parameters for tetrahydrofuran. The parameter values used for describing dimethylformamide are as follows: EPS = 36.71, RSOLV = 2.647, density = 0.007777, and EP-SINF = 2.039 [51].

4. Conclusions

In this work, the organocatalytic controlled anionic ring-opening polymerization of two carbazole-containing thiirane derivatives (9-carbazolylmethyl)thiirane (**M1**) and (3,6-di-*tert*-butyl-9-carbazolylmethyl)thiirane (**M2**) was performed for the first time. An initiating system based on thiol as an initiator and 1,5,7-triazabicyclo[4.4.0]dec-5-ene (TBD) as catalyst induced fast living polymerization of both monomers at room temperature to afford linear polymers with molecular weight in the range of $M_n = 4000\text{--}15000 \text{ g mol}^{-1}$ and relatively low polydispersity ($\mathcal{D} < 1.3$). It was demonstrated that the stoichiometric amount of TBD towards thiol is required in order to provide the livingness of the polymerization process. The star-shaped polymers with controlled molecular weight ($M_n = 5000\text{--}17000 \text{ g mol}^{-1}$) were then synthesized under optimal conditions developed for linear polymers. It was demonstrated that end-capping of polymers by trifluoroacetic anhydride allowed to protect thiol end groups from

oxidative coupling. The high reactivity of thiol end group towards nucleophilic substitution demonstrated here opens an access to functionalization of star-shaped poly-(9-carbazolylmethyl)thiirane with different functional groups (for example (meth)acrylate group) to prepare cross-linkable polymers. The thermal, photophysical and electrochemical properties of the synthesized linear and star-shaped polymers were estimated. It was demonstrated that synthesized polymers are promising host materials for solution processable polymeric light emitting devices.

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Appendix A. Supplementary material

Tables with polymerization data, NMR spectra, SEC traces, DSC curves, absorption and fluorescence spectra, $\ln([M]/[M]_0)$ vs. time and M_n , (\mathcal{D}) vs. conv. curves. The raw/processed data required to reproduce these findings cannot be shared at this time due to technical or time limitations. Supplementary data to this article can be found online at <https://doi.org/10.1016/j.eurpolymj.2019.05.009>.

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